



AFSA NOTICE №0004

ON EXEMPT SECURITIES

(with amendments as of 10 September 2019)

Date of issue: 28 February 2019



ON EXEMPT SECURITIES

RELEVANT STATUTORY PROVISION: MAR 1.2.2 (EXEMPT SECURITIES)

THE ASTANA FINANCIAL SERVICES AUTHORITY HEREBY GIVES NOTICE THAT:

The List of Regulated Exchanges determined to be Equivalent and Securities to be admitted to the Official List maintained by the Astana International Exchange (AIX) without a Prospectus, where such securities meet requirements under (i), (ii) and (iii) of MAR 1.2.2(h):

1. Shares, Debentures and Certificates admitted to trading on the main market of the London Stock Exchange (LSE);
2. Shares and Units admitted to trading on the AIM (Alternative Investments Market) of the London Stock Exchange (LSE);
3. Shares, Debentures, Funds and Exchange-traded funds (ETFs) admitted to trading on the Euronext Dublin.